

Form PTO-1449
(Rev. 2-32)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.
BKD 179 P2

Serial No.
09/914,577

Information Disclosure
Statement by Applicant
(Use several sheets if necessary)

Applicant, R. Duane Smith

Filing Date
August 29, 2001

Group Not Yet Assigned

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U.S. PATENT DOCUMENTS

Initial	Document Number	Date	Name	Class	Subclass	Group
JD	1 9 1 9 5 1 8	7/33	Kruimel			
JD	2 4 9 8 2 2 4	2/50	Stone			
JD	2 5 7 9 1 9 9	12/51	Marcalus			
JD	2 9 1 5 2 5 5	12/59	Phelps /			
JD	3 0 0 9 6 6 6	11/61	Moser			
JD	3 0 6 6 8 8 3	12/62	Egan			
JD	3 2 5 8 2 1 7	6/66	MacArthur et al.			
JD	3 7 9 4 2 5 5	2/74	Harmon et al.			
JD	3 8 5 7 5 2 4	12/74	Melead et al.			
JD	3 8 8 9 8 9 2	6/75	Melead			
JD	4 1 1 1 3 7 7	9/78	Tetro et al.			
JD	4 4 4 4 3 6 2	4/84	Karr			
JD	4 4 4 5 6 4 6	5/84	Karr et al.			

FOREIGN PATENT DOCUMENTS

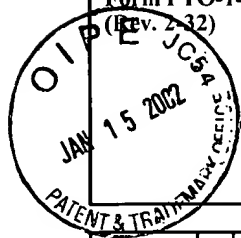
Document Number	Date	Country	Class	Subclass	Translation Yes No
4 3 5 3 1 5	7/74	USSR			X
9 0 0 0 5 1 1	7/90	WO			X
5 3 8 5 6 6	4/93	EP			X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JD	Smith, R., "The Art of Winding Good Rolls", Paper, Film & Foil Converter '91
JD	Smith, R., "Microprocessor Control of RiderRoll Loading for New and Existing Two Drum Winders", PaperAge '88
Examiner	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PART OF PAPER #4
Sheet 2 of 2



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U.S. PATENT DOCUMENTS

Exam. Initial	Document Number	Date	Name	Class	Subclass	Filing Date
218	4 5 7 2 4 5 1	2/86	Ikeda et al.	—	—	
	4 6 3 4 0 6 8	1/87	Malkki et al.	—	—	
	4 9 8 8 0 5 1	1/91	Welschlaue et al.	—	—	
	4 9 9 3 6 5 2	2/91	Moeller	—	—	
	5 1 5 4 3 6 7	10/92	Beisswanger	—	—	
	5 5 0 7 4 5 0	4/96	Hoar	—	—	
	5 6 1 1 5 0 0	3/97	Smith	—	—	
	5 6 3 9 0 4 5	6/97	Dörfel	—	—	
	5 6 7 3 8 7 0	10/97	Fielding et al.	—	—	
	5 6 9 0 2 9 8	11/97	Rück	—	—	
	5 7 7 2 1 4 9	6/98	Butterworth	—	—	
	5 7 9 5 3 9 4	8/98	Belotserkovsky et al.	—	—	

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation Yes No

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Examiner	<i>jmullen</i>	Date Considered	1/6/03
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